

RECEIPT

Attorney Docket No.: 00-007

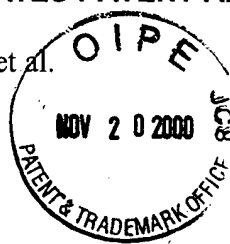
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: WALKER et al.

Serial No.: 09/609,253

Filing Date: June 30, 2000

For: METHODS AND APPARATUS FOR
INCREASING AND/OR FOR
MONITORING A PARTY'S
COMPLIANCE WITH A SCHEDULE
FOR TAKING MEDICINES



) Examiner: Not yet assigned

) Group Art Unit: 2761

) Customer No.: 22927



) Docket No.: 00-007

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JAN 25 2001
Technology Center 2100

Assistant Commissioner for Patents
Office of Initial Examination
Customer Service Center
Washington, D.C. 20231

TRANSMITTAL LETTER

Sir:

Applicants hereby submit the following documents for the above-identified patent application:

1. Request for Corrected Filing Receipt;
2. Red-lined Copy of Official Filing Receipt;
3. Copy of the Utility Patent Application Transmittal filed 6/30/00; and
4. Acknowledgment Postcard.

The Assistant Commissioner is hereby authorized to charge \$ 0.00 to Deposit Account No. 50-0271. Order NO. 00-007. A duplicate copy of this sheet is attached. The Assistant Commissioner is further authorized to charge any additional fees which may be required for the submission of this paper, or to credit any overpayment to Deposit Account No. 50-0271. Order No. 00-007. A duplicate copy of this sheet is attached.

Respectfully submitted,

November 9, 2000
Date

Brian M. Dugar
Attorney for Applicants
PTO Reg. No. 41,720
Walker Digital Corporation
Five High Ridge Park
Stamford, CT 06905
Telephone: (203) 461-7121
Facsimile: (203) 595-8266

Certificate of Mailing

I hereby certify that this correspondence is being sent via first class mail with sufficient postage addressed to the Assistant Commissioner for Patents, Office of Initial Patent Examination, Customer Service Center, Washington, DC 20231 on November 9, 2000.

Margaret N. Kaswer
Typed Name of Person Making Deposit

Signature

11/9/2000
Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Customer Service Center
Washington, D.C. 20231

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REQUEST FOR CORRECTED FILING RECEIPT

Applicants respectfully request that the filing receipt for the above-identified patent application be corrected as follows:

- 1) Please correct the spelling of the third applicant's last name to read:

Kobayashi

The requested correction has been indicated in red on an enclosed copy of the Official Filing Receipt. In support of this request, applicants have enclosed a copy of the Utility Patent Application Transmittal filed with the application on June 30, 2000, which clearly indicates the correction requested above. Please issue a Corrected Filing Receipt in due course.

Respectfully submitted,

November 9, 2000

Date

A handwritten signature in dark ink, appearing to read "Brian M. Dugan".

Brian M. Dugan
Attorney for Applicants
PTO Reg. No. 41,720
Walker Digital Corporation
Five High Ridge Park
Stamford, CT 06905
Telephone: (203) 461-7121
Facsimile: (203) 595-8266



UNITED STATES PATENT AND TRADEMARK OFFICE

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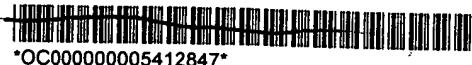
COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/609,253	06/30/2000	2761	3360	00-007	18	125	13

22927
WALKER DIGITAL
FIVE HIGH RIDGE PARK
STAMFORD, CT 06905



FILING RECEIPT



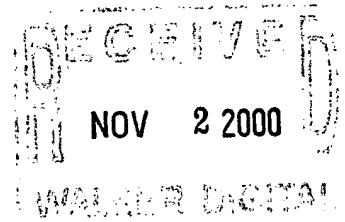
OC000000005412847

Date Mailed: 09/20/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Jay S. Walker, Ridgefield, CT ;
Magdalena Mik, Greenwich, CT ;
Michiko Kobayashi, Stamford, CT ; Kobayashi;
Russell Pratt Sammon, Stamford, CT ;
Andrew P. Golden, New York, NY ;
Geoffrey M. Gelman, Stamford, CT ;
Terry E. Mayfield, Norwalk, CT ;



Continuing Data as Claimed by Applicant

THIS APPLN CLAIMS BENEFIT OF 60/188,279 03/10/2000

Foreign Applications

If Required, Foreign Filing License Granted 09/19/2000

Title

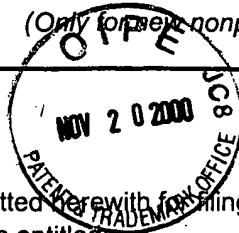
Methods and apparatus for increasing and/or for monitoring a party's compliance with a schedule for taking medicines

Preliminary Class

705

UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.
00-007Total Pages in this Submission
123

TO THE ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application
Washington, D.C. 20231**COPY**

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

**METHODS AND APPARATUS FOR INCREASING AND/OR FOR MONITORING A PARTY'S
COMPLIANCE WITH A SCHEDULE FOR TAKING MEDICINES**

and invented by:

Jay S. WALKER, Magdalena MIK, Michiko KOBAYASHI, Russell Pratt SAMMON, Andrew P. GOLDEN, Geoffrey M. GELMAN, and Terry E. MAYFIELD

If a CONTINUATION APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Enclosed are:

Application Elements

1. ☐ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 77 pages and including the following:
 - a. ☒ Descriptive Title of the Invention
 - b. ☒ Cross References to Related Applications (if applicable)
 - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
 - d. ☐ Reference to Microfiche Appendix (if applicable)
 - e. ☒ Background of the Invention
 - f. ☒ Brief Summary of the Invention
 - g. ☒ Brief Description of the Drawings (if drawings filed)
 - h. ☒ Detailed Description
 - i. ☒ Claim(s) as Classified Below
 - j. ☒ Abstract of the Disclosure

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UTILITY PATENT APPLICATION TRANSMITTAL
(Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.
00-007

Total Pages in this Submission
123

Application Elements (Continued)

3. ☒ Drawing(s) *(when necessary as prescribed by 35 USC 113)*
- a. ☒ Formal Number of Sheets 18
- b. ☐ Informal Number of Sheets _____
4. ☒ Oath or Declaration
- a. ☒ Newly executed *(original or copy)* ☐ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d)) *(for continuation/divisional application only)*
- c. ☒ With Power of Attorney ☐ Without Power of Attorney
- d. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application,
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference *(usable if Box 4b is checked)*
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under
Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby
incorporated by reference therein.
6. ☐ Computer Program in Microfiche *(Appendix)*
7. ☐ Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all must be included)*
- a. ☐ Paper Copy
- b. ☐ Computer Readable Copy *(identical to computer copy)*
- c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

Accompanying Application Parts

8. ☒ Assignment Papers *(cover sheet & document(s))*
9. ☐ 37 CFR 3.73(B) Statement *(when there is an assignee)*
10. ☐ English Translation Document *(if applicable)*
11. ☒ Information Disclosure Statement/PTO-1449 ☒ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Acknowledgment postcard
14. ☒ Certificate of Mailing
- ☐ First Class ☒ Express Mail *(Specify Label No.):* EL632245859US

UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.
00-007

Total Pages in this Submission
123

Accompanying Application Parts (Continued)

15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)

16. ☐ Additional Enclosures (please identify below):

Fee Calculation and Transmittal

CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	125	- 20 =	105	x \$18.00	\$1,890.00
Indep. Claims	13	- 3 =	10	x \$78.00	\$780.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$690.00
OTHER FEE (specify purpose) Assignment					\$40.00
TOTAL FILING FEE					\$3,400.00

- ☐ A check in the amount of _____ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 50-0271 as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of \$3,400.00 as filing fee.
 - ☒ Credit any overpayment.
 - ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
 - ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).


Signature

Brian M. Dugan
Attorney for Applicants
PTO Reg. No.: 41,720

Dated: June 30, 2000



22927

PATENT TRADEMARK OFFICE

CC:



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Bib Data Sheet

SERIAL NUMBER 09/609,253	FILING DATE 06/30/2000 RULE -	CLASS 705	GROUP ART UNIT 2761	ATTORNEY DOCKET NO. 00-007
APPLICANTS Jay S. Walker, Ridgefield, CT ; Magdalena Mik, Greenwich, CT ; Michiko Kobayashi, Stamford, CT ; Russell Pratt Sammon, Stamford, CT ; Andrew P. Golden, New York, NY ; Geoffrey M. Gelman, Stamford, CT ; Terry E. Mayfield, Norwalk, CT ;				
** CONTINUING DATA ***** THIS APPLN CLAIMS BENEFIT OF 60/188,279 03/10/2000				
** FOREIGN APPLICATIONS *****				
IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 09/19/2000 -				
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after met Allowance Verified and Acknowledged Examiner's Signature _____ Initials _____		STATE OR COUNTRY CT	SHEETS DRAWING 18	TOTAL CLAIMS 125
				INDEPENDENT CLAIMS 13
ADDRESS 22927				
TITLE Methods and apparatus for increasing and/or for monitoring a party's compliance with a schedule for taking medicines				
FILING FEE RECEIVED 3360	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	